

# Enhanced fluctuations in small phospholipid bilayer vesicles containing cholesterol

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**Abstract.** Ultrasonic and calorimetric studies of small homogeneously-sized DMPC unilamellar vesicles showed two thermal transitions at temperatures  $T_{c1}$  and  $T_{c2}$  ( $T_{c2} \geq T_{c1}$ );  $T_{c2}$  is close to the phase transition temperature,  $T_c$ , of large vesicles. The process at  $T_{c2}$  is not a fusion of vesicles and is interpreted as characterizing an order-disorder transition essentially similar to that of large vesicles. The temperatures  $T_{c1}$  and  $T_{c2}$  become increasingly similar as the cholesterol content is increased, while the clusters at  $T_{c2}$  ( $\approx 85$  lipid molecules in pure DMPC) increase in size up to approximately 180 lipid molecules at 12 mol% cholesterol. Incorporation of cholesterol thus brings about enhanced fluctuations in this model system of a membrane.

**Key words:** Phospholipid bilayers, ultrasonics and calorimetry, cluster size, effect of cholesterol, enhanced fluctuations

## Introduction

Spherical phospholipid bilayer vesicles have gained wide acceptance as model systems that simulate properties of biological membranes. These bilayers undergo thermally induced transitions from a state in which the aliphatic side chains are relatively rigid and in close van der Waal's contact with their neighbours to a state in which free rotation about carbon-carbon bonds is allowed. In a transition zone the state of a bilayer can be described as a distribution of clusters of phospholipid molecules in the ordered state, and clusters in the disordered state. In large vesicles, at their transition temperature  $T_c$ , the ordered and disordered clusters consist of an equal number of phospholipid

molecules; for DMPC values of 200 and 330 have been reported.

In view of the involvement of cholesterol in cell function and its widespread occurrence in biological membranes, much attention has been devoted to effects of its incorporation in phospholipid bilayers. Additives are known to reduce the size of the clusters, sometimes quite considerably, for example, as with anesthetics (Mountcastle et al. 1978). For cholesterol, however, this effect is small or non-existent in large bilayers, and herein we describe an increase in the size of clusters for DMPC SUV.

## Materials and methods

Synthetic DMPC and cholesterol were purchased from Sigma. Purity was checked by thin layer chromatography. Irradiation with 20 kHz ultrasonic waves at a power level of 150 W (30 min), followed by ultracentrifugation at about 160,000 *g* (3 h) and gel-filtration on Sepharose columns, produced homogeneously-sized populations of SUV. In one preparation the vesicles had an average diameter of 280 Å, and 73% of them had a diameter between 205 Å and 326 Å. The average value of the diameter remained constant over periods of 24 h in the temperature range 15° to 30°C, and was reproducible for all preparations to within  $\pm 30$  Å. Homogeneously-sized populations of LUV of average diameter 2,000 Å were obtained by the ether vaporisation procedure (Deamer and Bangham 1976). The lipid concentration was measured as an inorganic phosphate (Bartlett 1959), and cholesterol concentration by colorimetry (Zlatkis et al. 1953).

Ultrasonic absorptions of solutions and solvents,  $\alpha$  and  $\alpha_0$  ( $\Delta\alpha = \alpha - \alpha_0$ ), were measured in the frequency range 0.48 to 5.76 MHz using an ultrasonic resonator (Eggers 1967). Calorimetric measurements were made

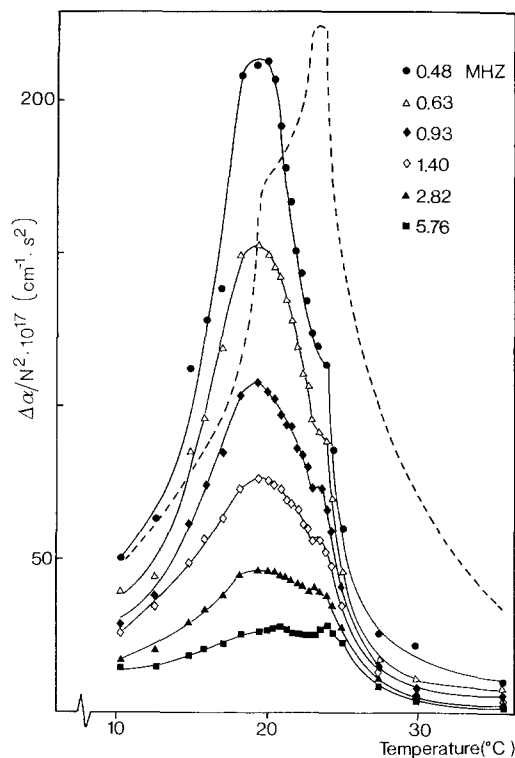
*Abbreviations* DMPC: dimyristoylphosphatidylcholine; SUV: small unilamellar vesicles; LUV: large unilamellar vesicles; MLV: multilamellar vesicles

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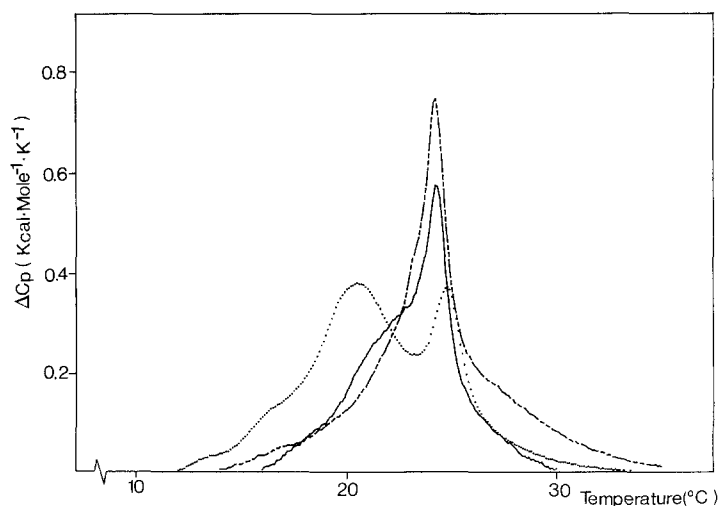
with the DASM 4 differential scanning microcalorimeter (Privalov 1980), usually at a scan rate of  $1^\circ \text{ min}^{-1}$ . Particle size and size distribution were measured using a light-scattering Malvern Autosizer II apparatus.

## Results and discussion

Lipid concentration was between 0.4 and 2.2 mg/ml in all ultrasonic measurements, and for SUV between 1.3



**Fig. 1.** SUV: 1 mg/ml in 100 mM Tris-HCl buffer, pH 7.3. Values of  $\Delta\alpha/N^2$  versus temperature at different frequencies. Broken line: SUV + 10 mol% cholesterol at 0.48 MHz and same conditions otherwise



**Fig. 2.** Calorimetric scans for SUV (·····); SUV + 5 mole% cholesterol (—), and +12.5 mol% cholesterol (— — —)

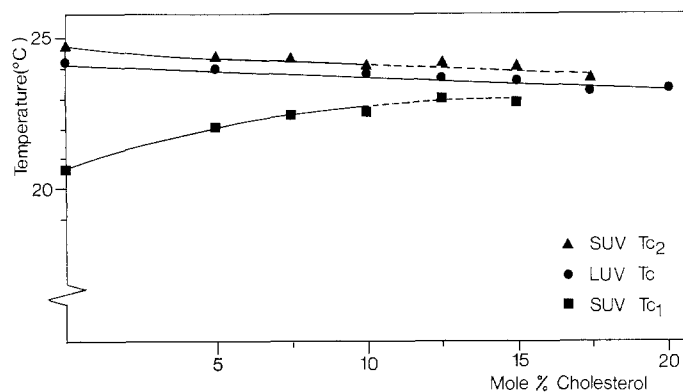
and 8 mg/ml in calorimetric measurements. Linear behaviour with lipid concentration was observed (not shown). The ultrasonic data are normalized to 1 mg of lipid per ml, and the specific heat values  $C_p$  are given in  $\text{kcal K}^{-1}$  per mole of lipid (Figs. 1 and 2).

In pure DMPC two distinct processes occur, the first at  $T_{c1} \approx 21^\circ \text{C}$ , which is lower than the transition temperature  $T_c$  for large vesicles, the second at  $T_{c2} \approx 24^\circ \text{C} \approx T_c$ . This behaviour has not been reported previously from ultrasonic data. Two well-defined transitions have been described for dipalmitoylphosphatidylcholine SUV from calorimetry studies, and they have been interpreted as reflecting the presence of both SUV and MLV, each having their own order-disorder transition temperature (Suurkusk et al. 1976). Changing thermotropic behaviour as a function of time has then been interpreted as reflecting a fusion process in which SUV slowly associate to form MLV.

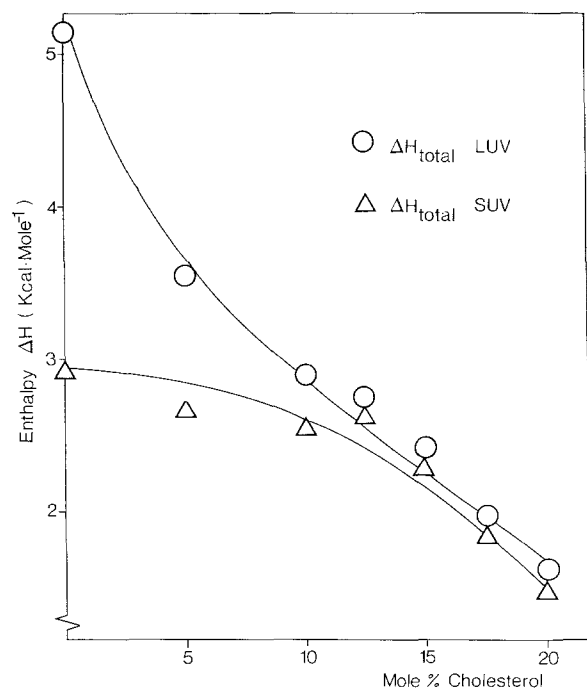
Our DMPC SUV also showed changing thermotropic behaviour when subjected to repeated temperature cycles. However, in our ultrasonic and calorimetric studies we always used fresh solutions, for which no change in size or shape of the vesicles could be detected, neither before nor after ultrasonic spectroscopy measurements. Therefore, the model of a slow fusion process cannot hold in our experiments.

The process at  $T_{c2}$  for SUV resembles that at  $T_c$  for LUV, as follows from Fig. 3, in which the calorimetric values of  $T_{c2}$  and  $T_c$  are seen to remain close to each other for all values shown of cholesterol content  $c_c$ . Moreover, when  $c_c$  is increased, the temperatures  $T_{c1}$  and  $T_{c2}$  for SUV, and  $T_c$  for LUV, as well as the total transition enthalpies  $\Delta H$  per mole of lipid for LUV and SUV in Fig. 4, become increasingly close to each other. Note that the ultrasonic value of  $T_{c2}$  is frequency-dependent.

We now show that at  $T_{c2}$  in SUV the clusters increase in size as cholesterol is incorporated up to



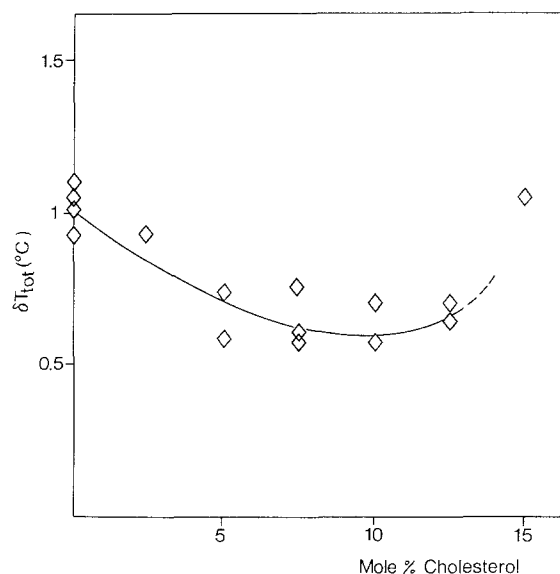
**Fig. 3.** Critical temperatures for cholesterol containing LUV and SUV. Broken lines indicate that the determination of critical temperature values requires the deconvolution of thermograms



**Fig. 4.** Total transition enthalpies for cholesterol containing LUV and SUV

about 12 mol%. Above this value the peak at  $T_{c2}$  broadens. When cholesterol is incorporated, a thermogram contains a third contribution at  $T_{c3} > T_{c2}$ , and deconvolution into elementary transitions depends on arbitrary assumptions. Therefore, we first use a qualitative argument as follows.

In simple models the width of a transition is inversely proportional to the van t'Hoff enthalpy:  $\Delta h_{vH} = m \Delta h$ , where  $m$  is the number of cooperative units (molecules) and  $\Delta h$  is the molar transition enthalpy per unit. We define  $\delta T_2$  as the half-width of the peak for the sole transition at  $T_{c2}$ ;  $\delta T_2$  is taken, at half-height, from the vertical of the top to the right flank of the peak. The effect of the transitions at  $T_{c1}$  and  $T_{c3}$  is to increase the measured total half-width  $\delta T_{\text{tot}}$  at  $T_{c2}$ , and to raise  $\delta T_{\text{tot}}$  further as  $c_c$  is increased. By allowing  $\delta T_2$  to equal  $\delta T_{\text{tot}}$ , shown in Fig. 5, we



**Fig. 5.** Measured half-width at half-height,  $\delta T_{\text{tot}}$ , for the transition at  $T_{c2}$  in cholesterol containing SUV

always underestimate the decrease of  $\delta T_2$  for increasing  $c_c$ . Thus,  $\Delta h_{vH}$  for the transition occurring at  $T_{c2}$  rises as  $c_c$  is increased.

Furthermore, in SUV the process at  $T_{c2} \simeq T_c$  is closer to the process at  $T_c$  in LUV than is the process at  $T_{c1}$  in SUV. Therefore, the dependence of  $\Delta h$  upon  $c_c$  must be intermediate between those of the total transition enthalpies  $\Delta H$  for SUV and for LUV. Consequently, for SUV  $\Delta h$  must decrease more than  $\Delta H$  does, as  $c_c$  is increased (see Fig. 4). Because  $\Delta h_{vH}$  increases and  $\Delta h$  decreases, the number  $m$  of cooperative units rises as  $c_c$  is increased. We observed no such effect when 3-doxyl-cholestone was incorporated into the vesicles.

Calorimetry measures enthalpy fluctuations, as is known, and, in an aqueous medium, ultrasonics measures volume fluctuations (Cerf 1985). Furthermore, it has already been suggested that volume fluctuations among ordered and disordered clusters could be of importance in the functioning of membrane systems

(Mountcastle et al. 1978), but the occurrence of ordered domains of the size encountered in lipid phase transitions in real systems (see Introduction) is still under debate.

The number  $m$  of cooperative units, i.e., the size of a cluster, can be obtained from the maximum value  $C_{p, \text{MAX}}$  of  $C_p$ . At  $T_{c2}$  this is found to be about 85 phospholipid molecules in pure DMPC SUV. It is likely that the higher curvature of lipid layers in SUV prevents the formation of ordered clusters of a size comparable to that found for LUV. The constraints that limit the size of a cluster in SUV would then partially be lifted when cholesterol is incorporated into the vesicles, until  $c_c$  approaches about 12 mole%, when  $m$  can be estimated as approximately 180 lipid molecules, i.e., about twice the value for pure DMPC. This estimate of  $m$  at  $T_{c2}$  in 12% cholesterol rests on deconvolution of the thermogram.

Because different NMR signals are produced by SUV when cholesterol is incorporated into the outer and inner layer, respectively (Sillerud and Barnett 1982), it is probable that the clusters melting in SUV at  $T_{c2}$ , close to  $T_c$  in LUV, are located in the outer layer of lower curvature. However, to produce the larger enthalpy change at  $T_{c1}$  in pure DMPC (Fig. 2), clusters melting at this temperature must be found in both the inner and outer layers. Moreover, from the width of the transition at  $T_{c1}$ , it follows that in pure DMPC at  $T_{c1}$  clusters must be of smaller average size ( $\approx 50$  lipid molecules) than at  $T_{c2}$ .

Because  $m$  defines the size of the fluctuating unit, the fluctuations at  $T_{c2}$  are *enhanced* by incorporating cholesterol into SUV up to about 12 mol%. Furthermore, this result suggests that when defects due to either heterogeneity in lipid composition, or proximity of protein, are present, cholesterol might increase the size of clusters, and therefore enhance the fluctuations. That enhanced fluctuations may play a part in biological processes has been proposed previously (Cerf

1985) on the basis of data obtained from viral capsids and from viruses (Cerf et al. 1979). Here, small phospholipid bilayers containing cholesterol provide an instance when enhanced fluctuations appear in a model system of a membrane.

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